



**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2022**  
*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Ms. Chaitali Pansari, Compliance Officer of the company, have examined the following compliance requirement of Escorp Asset Management Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	NA
2.	Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?	Yes	Ms. Chaitali Pansari Company Secretary & Compliance Officer  PAN: BKHPP6512N
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	
4.	Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	
5.	Whether nature of UPSI have been captured alongwith date and time?	Yes	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	
8.	Whether the database has been maintained internally?	Yes	
9.	Whether audit trail is maintained?	Yes	
10.	Whether time stamping is maintained?	Yes	DSC (Digital Signature Certificate)
11.	Whether the database is non-tamperable?	Yes	
12.	Any other measures to ensure non-tamperability of the Database?	Yes	Keeping data base digitally signed as and when we enter data and



			approved by Shripal Shrenik Shah Director of the Company.
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**Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.**

The number of days for which non-compliance was observed: Nil

Further I also confirm that the Company was required to capture one event during the quarter ended June 2022 and has captured the same.

**For Escorp Asset Management Limited**

**CHAITALI**  
**PANSARI**

Digitally signed by CHAITALI PANSARI  
DN: cn=CHAITALI PANSARI, postalCode=211218,  
serialNumber=1,  
c=IN, o=CHAITALI PANSARI, email=chaitali.pansari@escorpamc.co.in,  
emailAddress=chaitali.pansari@escorpamc.co.in,  
ou=CHAITALI PANSARI,  
ou=CHAITALI PANSARI, postalCode=211218,  
cn=CHAITALI PANSARI,  
email=chaitali.pansari@escorpamc.co.in

**Ms. Chaitali Pansari**  
**Compliance Officer of the company**

Date: 08/08/2022

Place: Mumbai

**Note:** The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.